

# Centromeric Heterochromatin: The Primordial Segregation Machine

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## Keywords

centromere, heterochromatin, chromosome segregation, DNA mechanics, molecular springs

## Abstract

Centromeres are specialized domains of heterochromatin that provide the foundation for the kinetochore. Centromeric heterochromatin is characterized by specific histone modifications, a centromere-specific histone H3 variant (CENP-A), and the enrichment of cohesin, condensin, and topoisomerase II. Centromere DNA varies orders of magnitude in size from 125 bp (budding yeast) to several megabases (human). In metaphase, sister kinetochores on the surface of replicated chromosomes face away from each other, where they establish microtubule attachment and bi-orientation. Despite the disparity in centromere size, the distance between separated sister kinetochores is remarkably conserved (approximately 1  $\mu\text{m}$ ) throughout phylogeny. The centromere functions as a molecular spring that resists microtubule-based extensional forces in mitosis. This review explores the physical properties of DNA in order to understand how the molecular spring is built and how it contributes to the fidelity of chromosome segregation.

## INTRODUCTION

The centromere is the primary constriction observed in condensed chromosomes during mitosis and provides the site of assembly for the kinetochore. The primary constriction on condensed chromosomes was first documented by Walther Flemming (44). Almost 100 years later, a description of the specialized disc-shaped kinetochore emerged: a proteinaceous structure found at the periphery of the centromere derived from electron micrographs of fixed specimens (15, 80). The identity of centromere and kinetochore proteins hinged serendipitously on the discovery of centromere-specific autoantibodies in sera of patients with an autoimmune disease scleroderma (14, 46, 103, 104). More than 100 kinetochore proteins have since been identified (10, 159, 174). They are organized minimally into five to six key complexes within the kinetochore and are largely conserved from yeast to mammals. Centromere DNA was first cloned and sequenced in the early 1980s (24, 43). In contrast to the high degree of protein conservation among diverse kinetochores, centromeres range from 125 bp of unique DNA in the budding yeast *Saccharomyces cerevisiae* to several megabases of repetitive DNA in *Homo sapiens* (Figure 1) (reviewed in 147). There are numerous features that distinguish centromeric heterochromatin from chromosome arms;

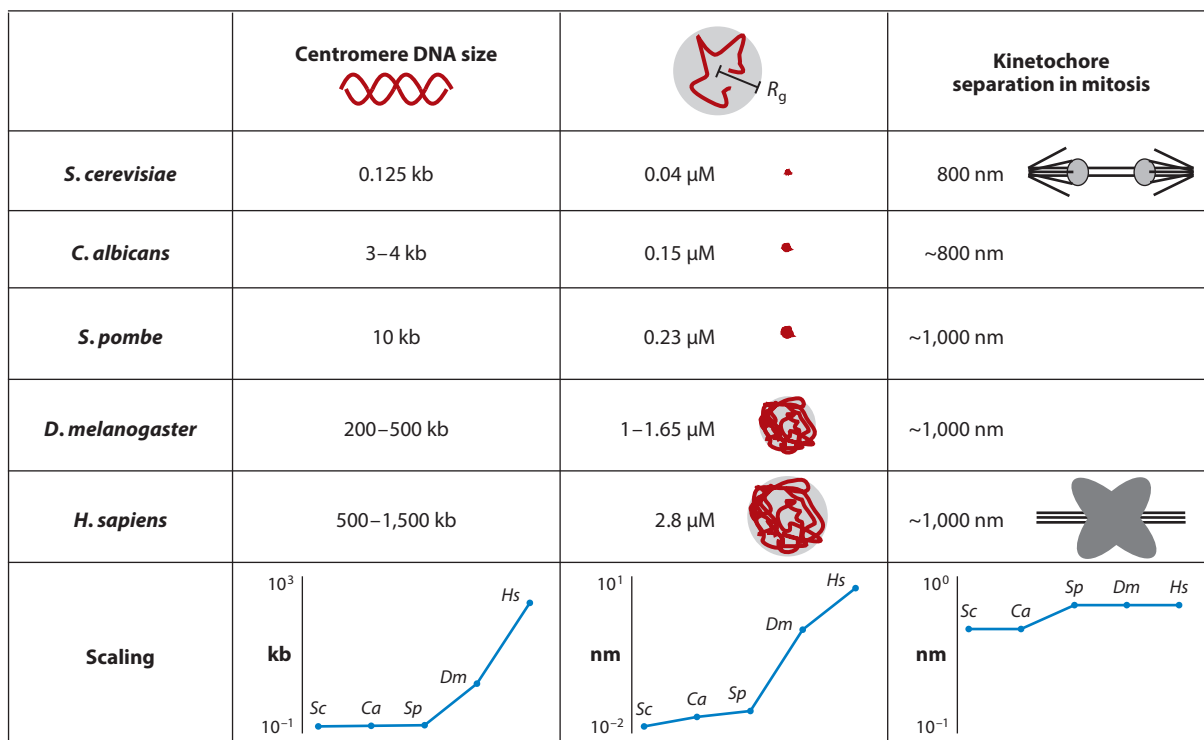


Figure 1

Physical properties of centromere DNA. Centromere DNA size is defined as the region of DNA required for the segregation function in a variety of organisms.  $R_g$  is the radius of the random coil (radius of gyration) defined by  $R_g^2 = n \times (2L_p)^2/6$ .  $L_p$  is the persistence length of the polymer, and  $n$  is the number of segments (total DNA contour length  $L_c/L_p$ ) (61). Kinetochore separation is the distance between sister kinetochores observed experimentally in a number of organisms [budding yeast, *S. cerevisiae* (118); fission yeast, *S. pombe* (32); worm, *C. albicans* (94); *Drosophila melanogaster* (160); and human, *H. sapiens* (132)]. The bottom graphs highlight the scaling (ordinate) of DNA (four orders of magnitude), radius of gyration (three orders of magnitude), and kinetochore separation (constant) throughout phylogeny (abscissa).

however, the chromatin organization and the divergence of centromeres throughout phylogeny are outstanding questions in the field. In this review, we explore conserved features of centromeric chromatin organization and evidence for the proposal that the centromere is the primordial segregation machine, preceding the evolution of kinetochore and spindle microtubules.

## Why Heterochromatin versus Euchromatin at Centromeres

Euchromatin is an open chromatin state that enhances accessibility to transcription complexes. Heterochromatin, far from simply being a compaction state, provides a mechanism for recruiting and spreading components across large distances in DNA space (59, 60). To appreciate this aspect of heterochromatin we need to compare DNA length to nuclear volume. In a typical human diploid cell, two meters of DNA reside in an approximately 10- $\mu\text{m}$ -diameter nucleus. The DNA is also very skinny (2 nm), which works in favor of chromatin-packaging strategies. The volume a random coil of DNA adopts in the absence of cellular material can be estimated from its radius, known as the radius of gyration ( $R_g$ ) (**Figure 1**). For the mammalian genome,  $R_g = (\sqrt{N}/6)2L_p = 130$  nm, equivalent to a volume of  $\sim 10^7 \mu\text{m}^3 (4/3\pi R_g^3)$ . In a typical cell, nuclear volume is approximately  $500 \mu\text{m}^3 (4/3\pi 5 \mu\text{m}^3)$ . Thus, chromatin is compacted on the order of  $2 \times 10^4$ . The volume of DNA polymer (excluded volume) is approximately  $6 \mu\text{m}^3 (\pi r^2 b = \pi * 1 \text{ nm}^2 * 6 \text{ m})$  or only 1% of the nuclear volume. These estimates exclude cellular mechanisms that distinguish euchromatin versus heterochromatin. It is not packaging per se that is challenging to understand, it is the organization of functional domains. The subnuclear organization of genes into various domains, or bodies, is indicative of spatial segregation according to function. Active genes are often clustered to the nuclear periphery, whereas tRNA genes are frequently associated with the nucleolus (73, 84). Mechanistically, chromosome domains entrapped by cohesin bring regulatory domains adjacent to transcription start sites (35, 36, 120), whereas condensin binding to polymerase III transcription factors functions to cluster tRNA genes (65). With respect to the centromere, information about microtubule attachment at one kinetochore is transferred across centromeric heterochromatin to its sister kinetochore as a mechanism for force balance and tension sensing that is critical for chromosome segregation fidelity. In this situation, the pair of sister kinetochores and centromeric heterochromatin make up a single unit with structural/mechanical integrity. Remarkably, centromere DNA spans more than four orders of magnitude (from yeast to human), yet the physical separation between kinetochores is highly conserved (**Figure 1**). How the transmission of force is managed over the vast range of DNA size is critical for understanding the basis for faithful chromosome segregation. An evolutionarily conserved pathway [spindle assembly checkpoint (SAC)] (105) monitors the status of the kinetochore microtubule attachment site, including the presence or absence of a microtubule and whether tension is generated between sister kinetochores. In the absence of attachment and/or tension, chemical signals are generated that invoke the SAC. These signals relay the status of occupancy and/or tension at the kinetochore to master regulatory kinases that drive cell cycle progression (105). Additionally, there are mechanisms for correcting erroneous attachments, providing the opportunity for sister kinetochores to establish bi-orientation. The error-correction mechanisms and how the cell promotes stable versus unstable microtubule attachment in response to the state of each sister kinetochore are embedded in the structure of the kinetochore and the centromeric heterochromatin. The folding of megabases of centromeric heterochromatin (in the case of humans) into a highly compact and organized structure is better suited for transmitting mechanical force than the more open, disordered euchromatin. Protein machines acting as compactors, loopers, and topology adjusters are integral to mechanisms that convert the DNA random coil into a mechanical tension sensor suitable for transmission of microtubule-based spindle force.

## Heterochromatin Organization: Transcription from Repetitive DNA

Heterochromatin is traditionally thought of as a means for setting boundaries between transcribed regions, as well as for providing an environment conducive to centromere function. One of the hallmarks of centromeric heterochromatin is the enrichment of simple sequences and repetitive DNA (68). In mammals, the centromere is marked by hierarchical repeats of  $\alpha$ -satellite DNA.  $\alpha$ -Satellites are a tandemly repeated array of a 171-bp monomeric unit. In fission yeast, the centromere region is characterized by large repeat structures (imr and otr) surrounding a unique centromere central core (23, 108). The extent of spreading and degree of compaction of centromeric heterochromatin is highly regulated. Transcription from repeat elements is part of this regulatory mechanism and provides a framework for assembly and maintenance of heterochromatin (59, 90). Increasing the stoichiometry of small noncoding RNAs through increased rates of transcription of mouse satellite leads to cell cycle delay, a decrease in heterochromatin compaction, and, likely, errors in chromosome segregation (75). Centromeric heterochromatin also represses transcription at the microtubule attachment site and is crucial for segregation function (50, 66). In budding yeast, modulation of transcription levels through the 125-bp CEN DNA leads to a marked increase in chromosome missegregation (70, 114, 115). In addition to the regulatory role of small RNAs, the act of transcription and topological consequences thereof may contribute to centromere function. However, as discussed below, phylogenetic studies of evolutionary new centromeres (ENC) reveals that satellite DNA accumulation follows centromere formation (112). It is therefore unlikely that number or physical arrangement of DNA repeats contributes to the mechanical coupling between sister chromatids. The accumulation of repeat sequences in the pericentromeres provides mechanisms through RNA function for creating and maintaining heterochromatin boundaries.

## Pericentromeres Are Enriched in Condensin and Cohesin

The structural maintenance of chromosome (SMC) proteins cohesin and condensin are enriched at the pericentric region in a number of organisms (27, 39, 86). SMC proteins assemble into complexes that adopt a ring-like conformation (72, 109). The backbone of the ring is formed by the SMC proteins themselves (MukB in bacteria, Smc2 and Smc4 in *S. cerevisiae* condensin, and Smc1 and Smc3 in *S. cerevisiae* cohesin). In eukaryotes, the SMC monomer is folded in an antiparallel coiled coil. At one end, the two monomers associate to form a hinge, and at the other end is an ATP-binding head domain. Closure of the ring at the head domain is carried out by proteins known as kleisins, including Scc1 (also known as Mcd1) and Brn1. Each dimer is associated with additional proteins [e.g., Ysc4, Ycg1, Scc3 (also known as Irr1), Rad61, and Pds5] at the head domain to form a functional complex in vivo. Condensin, cohesin, and topoisomerase II are approximately threefold enriched in the 30–50 kb of DNA surrounding the budding yeast centromere (6, 27, 39) relative to the bulk of the genome. An attractive hypothesis is that cohesin, by physically entrapping sister chromatids, is responsible for the resistance between bi-oriented sister kinetochores in mitosis. Consistent with this, most cohesin dissociates from mammalian chromatin via the prophase pathway (93, 165), but a small population, enriched at the centromeric region, remains on chromatin until the onset of anaphase. Studies in budding yeast challenged this hypothesis by observing well-separated sister centromere DNA occurring frequently during metaphase (56, 69, 118, 152). How could proteins that specifically bind DNA together be enriched in a region where the DNA is both separated and transiently together? This apparent contradiction can be resolved if sister kinetochore microtubules stretch the centromeres into a cruciform structure in which each sister centromere lies at the apex of an intramolecular DNA loop while sister chromosome arms are paired via intermolecular cohesion. The cruciform structure serves

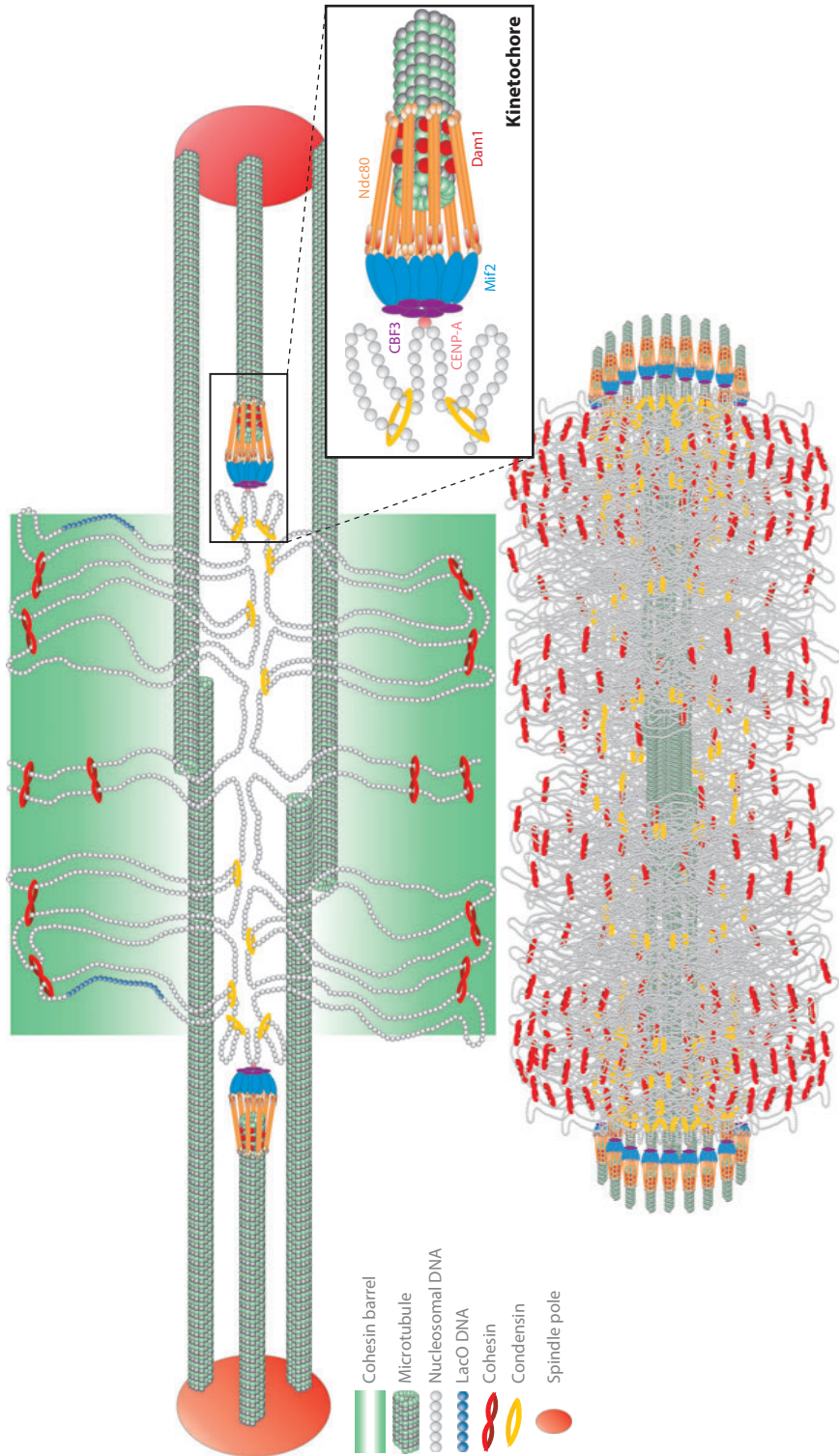
to orient the centromere (and therefore the kinetochore) on the outer-face of the chromosome, facing toward the spindle pole of the segregation apparatus (142, 175). Cohesin is radially displaced from the microtubule axis, indicating a more complex organization that can be accounted for in simple models (**Figure 2**).

To gain insight into how these SMC architectural proteins might be organized to resist spindle forces in mitosis, we turn to their organization in compacting ribosomal DNA in the actively transcribed nucleolus. A major site of repeat sequences in all organisms is the nucleolus. The budding yeast nucleolus contains 100–200 copies of a 9.1-kb rDNA repeat (~1–2 Mb) together with approximately a threefold greater concentration of cohesin and condensin relative to RNA polymerase II transcribed genes (4, 28, 48). The nucleolus is also a repository of several cell cycle regulatory complexes (7, 129). Condensin plays a fundamental role in rDNA segregation (28) and is coupled to one of these cell cycle regulatory complexes (FEAR) to ensure the timely segregation of rDNA prior to cytokinesis. Condensin recruitment to rDNA depends upon monopolin (including Csm1 and Lrs4) Fob1 and is negatively correlated with levels of RNA polymerase I transcription (78, 79). Condensin also binds tRNA gene transcription complexes and promotes clustering of tRNA genes (65). Furthermore, these tRNA genes are clustered to the nucleolus, dependent on *CBF5*, a gene implicated in centromere function (84).

The concentration of cohesin is dynamically equilibrated between the nucleolus and pericentric heterochromatin. Reduction of pericentric cohesin (via *mcm21* deletion) leads to increased nucleolar levels, whereas reduction of nucleolar cohesin (via *sir2* deletion) leads to increased pericentric levels (143). Enrichment to the pericentromere depends upon the kinetochore, but what restricts these proteins to the 30–50-kb region surrounding the centromere is not clear. Because the rDNA repeats are enriched for condensin and cohesin, other repeats might similarly be enriched for these SMC proteins and thereby account for their enrichment in pericentromeric regions. The major repeated DNA sequences in yeast are the subtelomere repeats, LTRs (long terminal repeats of 300–400-bp bracketing retrotransposons, 429 total), and tDNA genes (307 total). LTRs and tDNA genes are enriched 1.8 times in the 50-kb region surrounding the centromere, relative to their concentration in the remainder of the genome. The enrichment of tDNA in the pericentromere and the recruitment of condensin to tRNA transcription factors provide a mechanism for restricting condensin to this functional domain. The enrichment of repeat sequences within the pericentromere may also provide the structural basis for partitioning condensin to the spindle axis and the radial displacement of cohesin (142, 143). Thus, the repeat sequences, although not directly involved in mechanosensing of the kinetochore microtubule attachments, may contribute indirectly to structure via their role in concentrating and partitioning proteins such as cohesin and condensin.

## Heterochromatin Function: Making an Elastic Material

Centromeric heterochromatin is distinguished from heterochromatic regions along chromosome arms principally by the degree of compaction and enrichment of cohesin and condensin. Many of the histone modifications are shared with transcriptionally silenced regions (55). The centromere-specific histone H3 variant, CENP-A, resides at the CEN DNA in budding yeast (**Figure 2**) (145) and is interspersed with methylated histone H3 (mono- and dimethylation of lysine 4 and di- and trimethylation of lysine 36) in centromeres of multicellular organisms (8, 9, 13, 53). Centromere heterochromatin provides three functions in chromosome segregation: to serve as the template for kinetochore formation and microtubule attachment to the mitotic spindle; to link separated sister kinetochores; and to translate the state of kinetochore microtubule attachment between sister kinetochores. The kinetochore constituents and specificity of formation have been addressed



in a number of recent reviews (10, 19, 172). Recent progress in genomics and mathematical modeling allows us to address the physical properties of centromere chromatin. For the mitotic spindle, kinesin microtubule-based motor proteins act as force production machines that slide the interpolar microtubules apart (**Figure 2**), generating an extensional force on the spindle poles (137, 138). The balance of microtubule-based extensional force and a chromatin-spring contractile force is necessary to produce a steady-state spindle length. Tension at the kinetochore satisfies the spindle checkpoint (11). Although the structure and form of the chromatin spring may vary throughout phylogeny, in all organisms the spring is contractile. To build an understanding of the chromatin spring, we start with the mechanical properties of DNA. DNA exhibits properties of an entropic spring, which may contribute to the contractility of centromeric heterochromatin. We can calculate the spring constant for an entropic spring according to polymer theory (12). The short rigid domains of DNA, linked via flexible joints, adopt a state of greatest disorder (entropy). From Hooke's law, we know that  $F = \kappa x$ , where  $\kappa$  is the spring constant (newton/meter) and  $x$  is the change in distance (meters). For small forces,  $F = 3k_B T x / n(2L_p)^2$ . The spring constant of this freely jointed chain is equal to  $3k_B T / n(2L_p)^2$ , where  $k_B T$  is the Boltzmann constant  $\times T$  (newton meters),  $L_p$  is persistence length (meters), and  $n$  is the number of segments. For a DNA length of 10 kb, the spring constant is 0.036 fN/nm, which is small indeed. Note that the larger the DNA [increasing number of segments ( $n$ )], the weaker the spring. Thus, the tendency of DNA to adopt a random coil is not sufficient to balance microtubule-based extensional forces in the range of pN (98).

To gain insight into how mechanical force is transmitted between sister centromeres, we must understand the physical organization, starting with the size and shape of the pericentromere and centromere. Upon attachment to kinetochore microtubules, bi-oriented centromeres in everything from budding yeast to flies to mammals exhibit a stereotypic separation ranging from 800 nm to 1,000 nm (**Figure 1**) (118, 133). Although the yeast centromere is defined by a very small region of DNA (125 bp), the 16 centromeres are clustered around spindle microtubules, where they attach to the plus-ends of 16 kinetochore microtubules (kinetochore microtubule plus-ends shown in **Figure 2**). On the basis of the enrichment of cohesin and condensin in the 30–50-kb region of DNA flanking the 125-bp centromere, one can consider this domain as functionally equivalent to centromeric heterochromatin in organisms with multiple-kinetochore microtubule attachment sites, such as flies and mammals. Furthermore, the amount of pericentric chromatin



## Figure 2

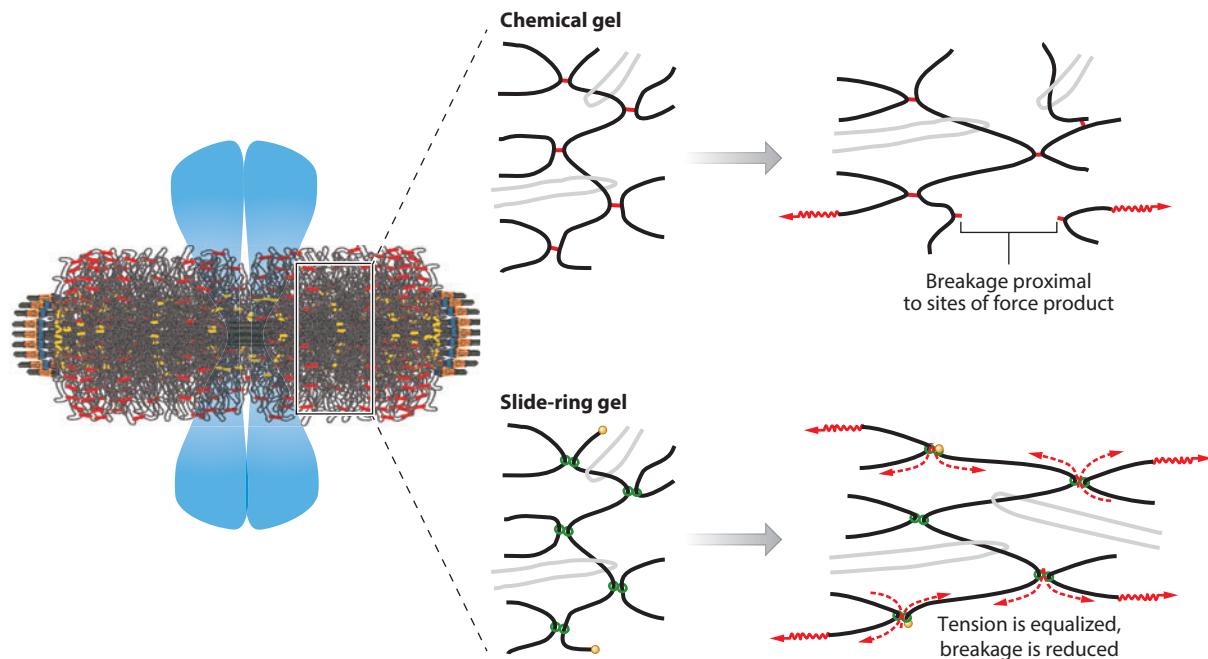
Organization of pericentric chromatin and cohesin in metaphase in budding yeast. (*Top*) The yeast segregation apparatus is a composite structure of the kinetochore and interpolar microtubules (*green*), the spindle pole body (*large red sphere*), and pericentric chromatin loops (DNA strands shown as strings of nucleosomes; *gray*). Centromere DNA (CENP-A nucleosome; *pink*) is attached to microtubule plus-ends via the kinetochore (*orange barbells* surrounding the microtubule plus-end). Kinetochore components (*right*) include Ndc80 (*orange barbells*), the Dam1 complex (*small red spheres* interleaved with Ndc80), the Mif2 complex (*blue rods*), and the DNA-binding complex CBF3 (*purple ovals*). Cohesin (*red*) and condensin (*yellow*) are enriched in the pericentromere and surround the central spindle. Cohesin is radially displaced from the spindle axis, whereas condensin is proximal to the spindle axis (142, 143). One pair of sister chromatids is shown for simplicity. Sister chromatids occupy the left and right half-spindle, respectively. Sister chromatids at the mid-spindle position (perpendicular to the spindle axis) are held via cohesin rings (*red*). As sister DNA strands become proximal to the spindle microtubules, they adopt a cruciform-like DNA configuration, with intermolecular sister pairing midway between the spindle poles and intramolecular pairing to the left and right. Condensin rings along the spindle axis contribute to formation of intramolecular loops shown perpendicular to the spindle axis, proximal to the left and right kinetochore. Lac operator DNA (LacO DNA) (nucleosomes; *blue*) is radially displaced from the spindle axis when visualized with LacI-GFP (2), similar in dimension to the cohesin barrel. (*Bottom*) The pericentric chromatin from all 16 chromosomes is shown together with kinetochore microtubules in metaphase. The amount of DNA is drawn to scale and represents the region of DNA from all 16 centromeres that is enriched in the SMC (structural maintenance of chromosome) protein complexes cohesin and condensin. Adapted from figure 4 of Reference 62.

encircling the yeast spindle is  $\sim 500\text{--}800$  kb (16 chromosomes  $\times$  30–50 kb), comparable to the 1–5 megabase lengths of  $\alpha$ -satellite DNA in a mammalian kinetochore. The area between the two clusters of 16 microtubule attachment sites in budding yeast ( $2\pi r b \approx 0.6 \mu\text{m}^2$ ) is comparable to estimates of the size of mammalian kinetochores ( $0.4 \mu\text{m}^2$ ) (20). Thus, the distance between sister centromeres as well as the area of centromeric heterochromatin are conserved features in centromere organization.

The next level of understanding of pericentromere function requires the accurate dissection of spatial features, including the DNA and chromatin proteins within the structure. The advantage of the pericentromere in budding yeast is that, unlike in mammals and most other organisms, the site of microtubule attachment is known with base-pair precision. The point centromere provides a critical reference point for localizing components relative to the site of microtubule-based force transduction. Pericentric DNA can be visualized through lac operators and lacI-GFP, which are introduced at specific sites relative to the centromere (146). Using this system, several investigators have found that sister centromeres are precociously separated during mitosis (56, 68, 118, 152). Because separated sister *lacO* (*Escherichia coli* lac operator) foci are mobile, their position is best captured as a distribution of statistical probabilities. Surprisingly, *lacO* foci at centromere proximal positions are found least often on the spindle axis (**Figure 2**) (2, 142). Pericentric DNA is radially displaced from the position of the kinetochores and kinetochore microtubule plus-ends (**Figure 2**). Thus, forces at one centromere are not linearly transmitted through the DNA to the sister centromere. The localization of cohesin and condensin helps us understand the distribution of pericentric DNA. Data from chromatin immunoprecipitation (ChIP) studies appear to indicate that cohesin and condensin are mostly uniform across the pericentromere (27, 52, 76, 99, 151, 168). In contrast, live-cell imaging studies indicate that cohesin and condensin adopt stereotypic and spatially segregated structures within the pericentric heterochromatin in mitosis (142, 143). Cohesin is radially distributed into an apparent barrel, displaced from the kinetochore and kinetochore microtubules (500-nm diameter versus 250-nm diameter kinetochore microtubules) (**Figure 2**). The barrel is uniform in fluorescence intensity, indicative of the even distribution of cohesin. The degree of displacement of the cohesin barrel is comparable to the displacement of the average distribution of pericentric DNA, indicating that both cohesin and pericentric DNA are, on average, displaced from the spindle axis and the main site of microtubule attachment. In contrast, condensin is localized along the spindle axis. Condensin is heterogeneous and appears as single or multiple foci or linear elements, consistent with its biochemical tendency to aggregate (4, 142). The apparent disparity in localization deduced by ChIP versus live-cell fluorescence microscopy is reconciled by considering DNA fluctuations. If the binding sites for pericentric cohesin and condensin are highly variable, a population method such as ChIP averages out the differences and misses the spatial segregation of these complexes observed in live images of single cells. The spatial segregation of chromatin proteins as well as the DNA within the centromeric heterochromatin reveals how little we understand the chromatin spring that resists outward microtubule-based forces.

Ring-like proteins endow synthetic polymers with several unique properties (57, 116). Slip-links in place of rigid cross-linkers in a synthetic fiber allow polymers to wiggle through the cross-link, resulting in a “sharing of the load” phenomenon (**Figure 3**). In the centromeric heterochromatin, condensin and/or cohesin protein rings may function as staples or slip-links (molecular pulleys) to distribute tension from one microtubule attachment site to the entire network (**Figure 3**). Cohesin and condensin (**Figures 2 and 3**) have the physical attributes to function as slip rings and regulate centromere elasticity. Cohesin acting distally from the spindle axis promotes looping and/or distributes tension throughout the network as a way of averaging fluctuations from the 32 individual kinetochore microtubules. Condensin localized along the spindle axis could generate a spring force through DNA compaction. Microtubule-extensional forces have a tendency to pull DNA,





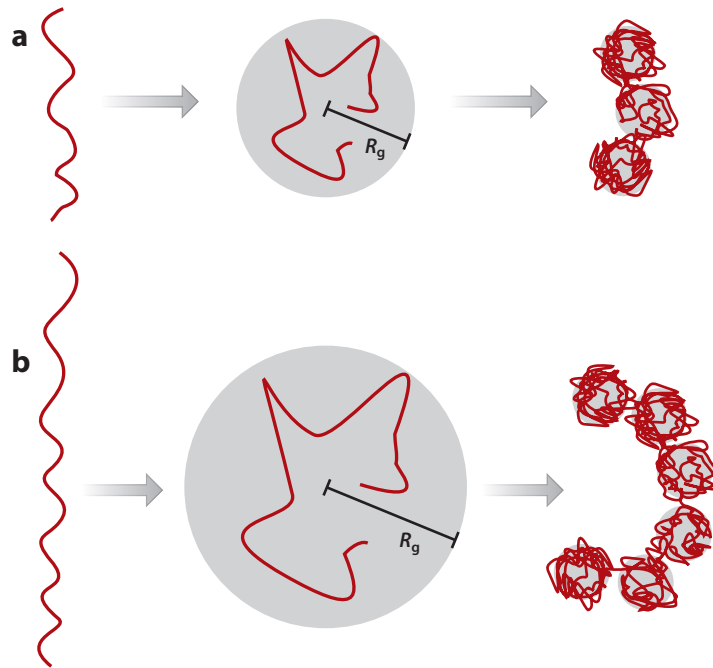
**Figure 3**

Strategies for cross-linking centromere DNA in the primary constriction of metaphase chromosomes. (*Left*) The organization of centromeric heterochromatin relative to chromosome arms in mitosis. The pericentric chromatin from 16 chromosomes surrounds the spindle axis (see key in **Figure 2**). Sister chromatid arms relative to centromeric heterochromatin are indicated by the blue masses. Pericentric chromatin functions as a contractile element in the spindle, counteracting extensional forces from microtubule-based motor proteins to achieve force balance in metaphase. (*Middle*) Various ways to generate chromatin cross-links. In a chemical gel (*top*), inflexible links (*red*) connect DNA strands (*black*). In a slide-ring gel (*bottom*), molecular pulleys (*green*), through which DNA can slide, connect DNA strands. The light gray strands indicate entanglements in which DNA strands are catenated. (*Right, top*) When force is exerted on DNA strands in a chemical gel, strain is concentrated on inflexible linkages, resulting in rupture. (*Right, bottom*) When force is exerted on DNA strands that can slip through molecular linkages, tension is distributed throughout the network, equalizing strain among all links, reducing rupture (57, 116).

extending its length, whereas condensin decreases DNA length through compaction. In support of this view, loss of either pericentric cohesin or condensin results in increased spindle length in yeast (142) as well as increased kinetochore-kinetochore distance in vertebrate cells (128, 135).

### Unique Features of Polymer Springs

The importance of fidelity in chromosome segregation cannot be overstated. It is not enough to build a robust microtubule attachment site. The ability to detect and correct errors in chromosome alignment is paramount in the development of any organism. In multiple microtubule-attached kinetochores, the error detection/correction system must compensate for stochastic growth and shortening of kinetochore microtubules. Unless the system tolerates a large range of tension at the kinetochore microtubule interface, there are no plausible mechanisms for how natural fluctuations in tension are buffered. We turn to the chromatin spring to gain insight into how tension is managed within the centromere. Long chain polymers such as chromosomes are challenging to model because of the wide range of length and timescales in the system. One of the problems for modeling is the vast number and breadth of polymer configurations, even though the large number



**Figure 4**

Polymer model of chromatin provides insight into force transduction through the centromere heterochromatin. (*a,b*) Linear DNA (*left*) spontaneously folds into a random coil (*middle*). The size of the random coil is defined by the radius of gyration ( $R_g$ ). In a confined and crowded environment such as a cell, the chains adopt smaller random coils within which monomer subunits are free to fluctuate, thus maximizing entropy (*right, smaller gray spheres containing red polymer*). These are known as thermal blobs and are defined as regions of the polymer chain in which the monomers are unaffected by their environment. If force is exerted at the ends of the chain, the blobs decrease laterally in size, but within each blob, the chains continue to fluctuate with defined statistical properties (164). Tension blobs create significant consequences for the centromere. When force is exerted on the kinetochore, tension can be transmitted over large distances (800 nm) without reducing the entropy of each and every monomer within the chain. The differing lengths of centromere DNA across phylogeny (small to large, as depicted in panels *a* and *b*) predict that the number of tension blobs varies considerably, but the mechanism of force transduction is highly conserved. The centroids of blobs can be connected to each other through springs, leading to the bead-spring representation of the chromatin polymer.

of configurations contributes significantly to the entropy and therefore the elastic restoring force. Even with robust algorithms, the complexity in the models must necessarily be reduced relative to what is present *in vivo*. Representation of the restoring force with springs and modeling the polymer as a bead-spring chain have proven valuable to understanding chromosome behavior (156) (**Figure 4**). These polymer models do remarkably well in capturing the large-scale folding and organizational principles derived from population studies.

Chromosome motion varies in predicted ways along the length of the chromosome. To see how the chromosome behaves, hold a slinky with each hand at an end and shake. The tendency for the middle of the spring to move more and the ends of the spring to move less captures experimental observations (162). The centromere behaves as one of the ends in this toy model. The restricted motion of an end reflects the biological feature that the centromere remains attached to the spindle pole body throughout the cell cycle in budding yeast (162). This is called a tether in the polymer

world and is an important physical principle that, together with the bead-spring model, is required to generate the observed variation in chromosome mobility (**Figure 4**).

During interphase, the Rabl orientation describes a configuration in which centromeres of each chromosome are associated with the spindle pole and telomeres distal to the pole (37). In mitosis, chromosomes are attached to the mitotic spindle and align along the metaphase plate, whereas in meiotic prophase, telomeres are clustered in a bouquet configuration with the centromeres extended distally (21, 22). Microtubule attachment of the centromere to the spindle poles or telomeres to the nuclear envelope provides a physical linkage that significantly restricts the dynamic range of chromatin motion (162). In budding yeast, detached centromeres exhibit the same degree of freedom as a locus hundreds of kilobase pairs away on the chromosome arm (162) that explores threefold more space than the attached centromere configuration (radius of confinement attached 274 nm versus detached 745 nm). The restricted centromere movement in wild-type cells is observed in all stages of the cell cycle. Likewise, telomere tethering to the nuclear envelope must be invoked to predict their observed motion. In a bead-spring model, sister kinetochores function as tethers restricting chromatin motion on the surface of the chromosome (see **Figure 2**), whereas intervening centromeric heterochromatin fluctuates like the aforementioned slinky. These basic physical principles reveal that in the absence of other features, the chromatin spring constant will be stiffest closest to the kinetochore and softest at the midpoint between sister kinetochores.

A second driving principle to understand how a polymer spring might function involves the excluded volume interaction. Just as we describe the hierarchy of chromosome organization from DNA to nucleosomes to higher-order structures, there are hierarchies in parameter interactions for a polymer. The primary interactions are the covalent bonds. Secondary interactions include the polymer and the solvent or are between monomers that are not nearest neighbors. The volume taken up by one monomer is excluded from that available to any other monomer. For a dynamic polymer, the area the polymer explores is larger than the chain itself, giving rise to an exclusion zone unavailable for other molecules to penetrate. This is known as excluded volume, the magnitude of which depends upon the chemical environment. Excluded volumes can overlap when molecules are immersed in poor solvents or crowded conditions, such as are found inside the cell. Considering the enormous length of the chromosome relative to the nucleus, the excluded volume is a significant fraction of the nuclear space. When two monomers come into proximity (i.e., crowding), their excluded volumes overlap. This results in increased available volume for small molecules (here called depletants). The gain in entropy of the system from the increased available volume to the depletants is known as the depletion (entropic) force. The depletion force favors molecular crowding (163) and, depending on the strength of the attraction force between individual segments of a polymer, can lead to collapse of the polymer into a compact structure known as a globule (61). In this way, the depletion force promotes chromosome compaction from first principles. Students of mitosis may recall that counter to intuition, thermodynamics favors the formation of the microtubule polymer (132). It is the loss of ordered water around tubulin dimers that drives this reaction. The critical issue is that the thermodynamics of any system that involves a polymer in solution must be considered in terms of entropy of the whole system, and this consideration can lead to counterintuitive phenomena, such as microtubule polymerization and chromosome condensation.

Finally, cellular geometry confines the DNA to an area much smaller than the thermodynamically favored  $R_g$  (**Figure 4**). For the *E. coli* chromosome,  $R_g$  is  $\sim 5 \mu\text{m}$ . Constraints imposed by cellular geometry mean that DNA is no longer hydrodynamically a spherical ball, but rather is more akin to a deformed or anisotropic configuration. Constraints lead to nonintuitive changes in behavior, such as dynamics and/or segregation of multiple polymer chains in a small space. Entropy drives fluctuations of all chains to adopt a random coil. When the chains overlap, the

number of conformational states is reduced (resulting in reduced entropy). This entropic penalty can drive segregation of the two chains in a confined space (82, 83). Such a mechanism has been proposed to operate in prokaryotes (82, 83) and might contribute to bulk chromosome segregation in eukaryotes that undergo closed mitosis (such as fungi and some protists).

## Spring Dynamics

Chromosomes are dynamic structures that undergo large spatial fluctuations in interphase and mitosis. In mitosis, centromeres exhibit oscillatory motion toward and away from the poles. The behavior of the chromatin fiber underlying this motion is not resolved in a light microscope. Even with super-resolution microscopy modes, one cannot resolve individual chromatin strands (11–30 nm in diameter). To visualize the chromatin fiber, an array of *E. coli lacO* can be integrated into a chromosome together with lac repressor–GFP fusion proteins (146). In this way, the underlying chromatin dynamics can be directly visualized in the light microscope. Furthermore, in budding yeast, the operator arrays can be introduced at defined positions along a chromosome. To understand the motion of these DNA arrays, we return to the behavior of the polymer chain. Unlike diffusion of a protein or solute that bounces through the nucleus or cytoplasm with the force of thermal energy ( $k_B T$ ), a polymer wiggles and slithers like a snake across sand. The parameters for describing the motion of a polymer are its persistence length ( $L_p$ ) and contour length ( $L_c$ ). The persistence length is the length scale over which the polymer, such as naked DNA, is stiff, with the mathematical definition of  $L_p$  being based on the correlation of the ends in space. The contour length is the total chain length. The persistence length of a microtubule is 6 mm as compared with only 50 nm for DNA. The consequence of this  $\sim 5$  orders of magnitude difference is that microtubules are very stiff and DNA is very floppy. The timescale of motion becomes a variable parameter for a long floppy chain such as DNA. On very short timescales (milliseconds), the motion is dictated by Brownian driven fluctuations of  $L_p$  segments. On these timescales, the motion reflects internal conformational changes of the monomers. Even a simple bead-spring model predicts distinct statistical fluctuation of each bead along the chain (162) (**Figure 4**). This leads to a position-dependent effective spring constant as seen by a particular bead relative to a tether point (i.e., centromere). The stiffer the chromatin spring, the less variance in fluctuations, whereas softer chromatin springs exhibit increased variance.

On longer timescales (seconds to minutes) the chain exhibits translational motion in space. Various models, such as the bead spring (**Figure 4**), have been invoked to understand the motion. Rouse models include spring and thermal forces, whereas Zimm models add hydrodynamic interactions to the Rouse model (29, 34, 130, 131, 178). The relaxation time of a polymer segment is defined as  $\tau_R = \zeta/k_B T(NR^2)$ , where  $\zeta$  is the drag coefficient,  $k_B T$  is the Boltzmann constant  $\times T$ ,  $R$  is the end-end distance of the chain, and  $N$  is the number of beads. Motion analysis on timescales less than  $\tau_R$  samples viscoelastic modes, whereas timescales greater than  $\tau_R$  sample diffusive motion. For purposes of intuition, the diffusion constant of an average protein is 5–15  $\mu\text{m}^2/\text{s}$ . For a chromosome (bacterial or yeast) the apparent diffusion constant is  $\sim 0.0003$ – $0.005 \mu\text{m}^2/\text{s}$  (169–171), 3–4 orders of magnitude slower than for a protein or small metabolite. The diffusion time for translation of the chromosome across the *E. coli* cell or a yeast nucleus is  $\sim 50$  s. This is important when we consider that this is substantially less than the timescale of cell division or mitosis [20 min doubling time in bacteria,  $\sim 10$ – $20$  min mitosis in yeast (176)]. In other words, polymer diffusion dynamics are sufficient to provide the kinetic motion behind chromosome segregation. From these laws of motion, we infer that the critical function of kinetochore/spindle systems is to direct sisters into daughter cells, ensuring that each cell gets one copy of each chromosome. Thus, consideration of basic physical principles reveals that segregation machines

are mainly in the business of accuracy and sorting, and that they just need to act as directional rectifiers to direct kinetic motion toward the spindle pole. As noted by Nicklas (110) many years ago, mitosis is not about speed; rather, it is about fidelity.

## Chromatin Proteins That Drive Elasticity

The finding that cohesin is enriched at centromeres (52, 99, 151, 168) raised a paradox, namely how to reconcile sister chromatid cohesion with sister centromere separation in mitosis. The discovery that the pericentromeric region adopts an intramolecular loop structure *in vivo* provided a solution to this paradox (175). Instead of holding sister chromatids together, cohesin may contribute to the mechanisms that promote centromeric DNA looping and structural features that stiffen the spring and/or promote confinement (**Figures 2 and 3**). Introducing protein linkages into the system could have a profound effect on the stiffness of the chromatin spring. To understand the contribution of proteins to chromatin stiffness we turn to the relationship between force and chain extension. As discussed above, a fluctuating polymer chain adopts a random coil in the absence of an external force (**Figure 4**). When forces are exerted on the polymer, as experienced at the kinetochore microtubule attachment site, the polymer (centromere) is stretched. This reduces the number of states available for fluctuation. The reduction in entropy works against external elongation. A model that captures the relationship between force and extension for flexible polymers (random coils, such as unstructured protein or DNA) is known as the worm-like chain (58). The solution can be approximated according to the formula  $F = (k_B T / L_p) \{ [(1/4)(1 - x/L_c)^{-2}] - 1/4 + x/L_c \}$ , where  $F$  is force,  $k_B T$  is the Boltzmann constant  $\times T$ ,  $L_p$  and  $L_c$  are as defined above, and  $x$  is the extension. It takes very small forces (on the order of several piconewtons) to reduce the number of available states and extend the chain 60–80% of its contour length. Stretch length ( $x$ ) approaches the chain length of the polymer ( $L_c$ ), and extensional forces rise exponentially because of the stretching of covalent bonds. Note that the persistence length for unstructured proteins is less than a nanometer versus 50 nm for DNA (see above). The consequence is that small domains of unstructured proteins in the spring require roughly 50 times the extensional force relative to the same length of DNA. The makeup of centromere heterochromatin is approximately equal mass DNA and histone protein, with protein linkages such as cohesin and condensin distributed in the network. Putting cohesin and/or condensin into the system adds considerable stiffness to the network, analogous to putting pop-rivets along the DNA helix.

Alternatively, the cohesin and condensin rings may contribute in other ways to the elastic properties of the centromere and/or chromosome. When tension is applied to an individual polymer in a cross-linked network, force is exerted on the proximal cross-link that leads to local regions of high stress. Slip rings (or molecular pulleys) provide a mechanism to distribute tension from one location to the entire network (**Figure 3**) (57, 116). In this scenario, the stretched polymer slips through the ring, equalizing the tension cooperatively. Such a topological distribution of stress was originally proposed in the Doi, Edwards, deGennes reptation tube model (29, 34) and was demonstrated in a recent study of the chemistry of polyrotaxanes (57, 116). The ring-like structure of cohesin and condensin (72, 109) is indicative of the physical attributes expected of slip rings to provide the chemistry for distributing microtubule-based tension throughout centromere heterochromatin. SMC protein rings are ~40 nm [cohesin (64)] or 25 nm [condensin (1)] in diameter, sufficient to encircle one or two 11-nm nucleosome fibers. Cohesin links sister chromatids by encircling two chromatin strands (bracelet model) or dimerization of two rings, each of which encircles a single chromatin strand (handcuff model) or both (109, 117). Condensin also links chromatin strands by encircling one strand and binding to another (25, 26). Both cohesin and condensin are mobile *in vivo*. In budding yeast, cohesin is loaded at the centromere

and slides to locations that cover the 50 kb of pericentric heterochromatin (76), whereas RNA polymerase can slide cohesin to the 3' end of transcribed genes (112). Likewise, condensin sliding and stopping have been shown to be integral to mechanisms of chromosome condensation during anaphase segregation (26). Slip rings provide a mechanism for distributing tension through centromere heterochromatin that is feeling the ensemble of stochastically growing and shortening kinetochore microtubules.

Another mechanism to regulate motion and the distribution of tension throughout the network is through entanglements between the chains. Entanglements arise when a DNA from one chromosome or topological domain encircles another (see entanglements in **Figure 3**). This situation may arise in pericentric heterochromatin, where there is a high packing density chromatin. Increasing the number of entanglements throughout a network increases its elasticity (177). This confers a rubber-like behavior to the chromosome. A rubber band stretches because the polymer chains locally slip past one another. As a rubber band is stretched, the number of configurational states decreases, reducing the entropy. The entropic restoring force, which maximizes the number of configurations the chain can explore, is responsible for recoil when the stretch force is released. Cross-links in the polymer prevent chain slippage, providing a mechanism to tune the strength or stiffness of the network. A counterintuitive property of rubber bands is their contraction when heated. At higher temperature, fluctuations increase and the shorter, random configurations are favored. In the centromere, more energy input in the form of protein machines (e.g., chromatin remodeling complexes and chaperones) increases chain motion, biasing the system toward chain stiffening. Centromere stretch and relaxation are observed in most eukaryotic kinetochores, highlighting this constant interplay between entropic chain fluctuation with its cross-links and entanglements versus the microtubule-based extensional forces that work to stretch the chain and reduce entropy.

### Driving Force for Compaction of Pericentric Chromatin

A typical mammalian chromosome is compacted roughly 10,000-fold in mitosis. If we consider the ambient cellular pressure and the energy to pack the chromosome together with molecular crowding, we find that the chromosome is still fairly soft, i.e., loosely packed, even at these seemingly high compaction ratios. Although proteins such as condensin and topoisomerase II play a critical role in compaction, it is important to begin with first principles to determine how much energy is required for compaction. As discussed above, entropic forces favor chromosome compaction because of the increased available volume and therefore gain free energy from the depletants (small molecules) in the cell. Each molecule has an effect of  $\sim 1 k_B T$  (3). Given the  $\sim$ one million proteins in *E. coli* as an example, the upper limit for the change in free energy available for chromosome compaction is on the order of  $10^6 k_B T$ . It has been estimated that  $\sim 10^5 k_B T$  ( $\sim 100$  pN) free energy is stored mechanically in the in vivo bacterial nucleoid (119). The external pressure required to hold the chromosome at this size is therefore 1,000 times smaller than the turgor pressure inside a cell [ $\sim 1$  atmospheric pressure (ATM) for bacteria (31) and 10 ATM for yeast (100) ( $1 \text{ ATM} = 1 \times 10^5 \text{ pN}/\mu\text{m}^2$ )]. The finding that compaction pressure inside the cell is 1/1,000th of the surrounding pressure indicates that the bacterial chromosome is fundamentally very soft. Similarly, measurements of the Young's modulus of the chromosome are on the order of a few hundred pascals ( $\text{pN}/\mu\text{m}^2$ ) (149). This is very different from compaction of DNA in a virus that is subject to 50 ATM (equivalent to  $50 \times 10^5 \text{ pN}/\mu\text{m}^2$ ) (102). Thus, although the degree of compaction (10,000-fold) is large at face value, it is not so large relative to the thermodynamics of the living system. In addition to the role structural proteins play in chromatin

compaction, they may also provide internal structure in the form of tethers or entanglements that link chromatin domains (121, 122) and promote distant interactions in heritable ways.

The principles derived from a polymer physics view of centromere heterochromatin impinge on issues central to mechanisms of chromosome segregation. Tension at the kinetochore is derived from a balance of microtubule-based extensional forces and chromatin-based inward force. The sheer bulk of centromeric heterochromatin (**Figure 1**) precludes a simple understanding of the structure of the spring. Furthermore, the stochastic microtubule growth and shortening at the multiple microtubule attachment sites in many organisms, including ourselves, are difficult to integrate into a tension-sensing mechanism that monitors individual kinetochore-microtubule attachment sites. Tackling the polymeric aspect of chromatin provides testable insights into these basic questions. Starting from thermodynamics, considering the entire system, including polymer and solute, we find that chromosome compaction increases the entropy for the solute. Thus, the force to compact the chromosome may be very small relative to what is intuitively a large problem ( $10,000 \times$  compaction). Likewise, chromosome diffusion is very slow, but not particularly slow on the timescale of chromosome movement, consistent with suggestions made several decades ago (110, 153) that segregation mechanisms are concerned with accuracy rather than strength or speed. Finally, protein complexes that form rings, such as condensin and cohesin, may impart elastic properties to centromere heterochromatin and therefore, together with the entropic nature of a DNA spring, constitute the centromere spring.

### **Building a Centromere or “Only If You Build It, Do You Understand It”**

The concentration of repeated sequences (satellites and/or tDNA), of cohesins, and of condensin distinguishes the centromere from chromosome arms and euchromatin but does not reveal the initiating event in centromere formation. As voiced by Richard Feynman in the 1950s, “Only if you build it, do you understand it” (41). We turn to different strategies, including synthetic centromeres, de novo centromere formation, and evolutionary centromere lineages, that allow us to peer into critical nucleation events in centromere formation.

**Synthetic centromeres.** The elucidation of kinetochore structure in yeast, fly, and human guides strategies for synthesis (10, 125, 150). The kinetochore is the protein-DNA machine built at the centromere. There are more than 100 proteins organized into 6–7 complexes, each of which contains between 4 and 12 protein subunits (**Figure 2**). The major force coupler is Ndc80, a tetrameric complex that lies on the microtubule lattice, proximal to its plus-end (158). The Dam1 complex comprises a 12-protein multimer that oligomerizes into a ring encircling the microtubule lattice in vitro (111). The Dam1 complex is essential in budding yeast and is one of the few components that is not evolutionarily conserved. The functional equivalent in mammalian kinetochores may be the Ska1 complex that binds depolymerizing microtubules and enhances the ability of Ndc80 to track microtubule ends (139, 173). The linkage to the chromatin is established via the CCAN complex (45, 150) and the centromere-specific histone H3 variant CENP-A (45, 145). In budding yeast, there is a high-affinity sequence-specific DNA binding complex that recognizes the centromere DNA CBF3 (54, 89). Several recent studies have built microtubule attachment sites for chromosome segregation. A site for a high-affinity DNA-binding protein is one requirement for DNA segregation that has been reconstructed through the use of tandem copies of the *lacO*. The DNA binding protein lac repressor (product of the *LacI* gene) is fused to a protein component of the kinetochore essential for microtubule interaction. In one case, fusion of lac repressor to Ask1, a member of the Dam1 complex, was used; in another case, lac repressor was fused to Dam1, a

member of the same complex (85, 88). In these synthetic kinetochores, the fusion protein recruits sufficient kinetochore proteins to promote chromosome segregation. These systems completely bypass the requirement for the authentic centromere and essential DNA binding components of the natural centromere.

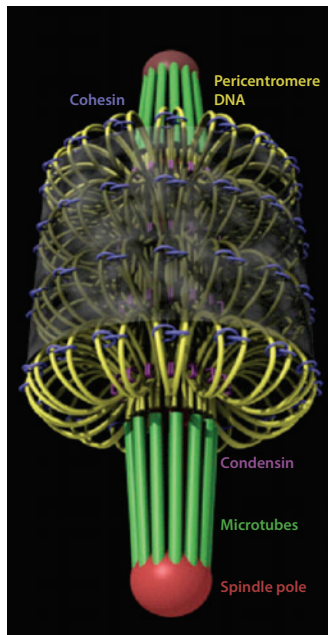
Mammalian centromeres, unlike budding yeast, do not have a specific DNA sequence at the site of microtubule attachment. The first synthesis of an artificial human centromere [human artificial chromosome (HAC)] introduced fragments of cloned centromeres to recruit kinetochore components (67, 95). A clever marriage between the human alphoid repeats and site-specific bacterial binding sites allowed Earnshaw and colleagues (107) to build a conditional human centromere. These investigators introduced a tetracycline operator (TetO) every second alphoid monomer. This system provides the capability to direct a range of proteins into the functional kinetochore as tetracycline repressor-fusion proteins. These synthetic kinetochores can be conditionally inactivated, which has significant implications for gene therapy studies, and can be used to study the chromatin requirements for centromere formation and heritability.

More recently, several groups have used these strategies to address the chromatin requirements for human kinetochores. Gascoigne et al. (49) directed two of the DNA binding components of the mammalian kinetochore to an ectopic site and were able to assemble a microtubule attachment site without CENP-A. An exhaustive study of ectopic targeting by the Fukagawa group revealed two modes of assembly (74). One (using CENP-T or CENP-C N terminus) recruits kinetochore proteins and assembles a functional kinetochore lacking CENP-A. A second strategy that recruits the full complement of kinetochore proteins, including CENP-A, utilized full-length HJURP, CENP-C, CENP-I, or the CENP-C C terminus. Thus, the recruitment of CENP-A is separable from the kinetochore function.

The Fukagawa study (74) is a critical advance in understanding centromeres, as it provides the first functional distinction of centromere versus kinetochore. A microtubule attachment site can be built by tethering DNA to a microtubule component within the kinetochore or via recruitment of a DNA-binding protein that recruits microtubule-binding components. The conceptual advance is that we can formally separate CENP-A chromatin, and potentially alphoid repeats, from kinetochore protein recruitment. The ability to assemble a functional kinetochore without underlying centromeric sequences or chromatin suggests, in turn, that the centromere is not just a landing pad or attachment site for the kinetochore and that the centromere has evolved with unique physical properties distinct from microtubule attachment that contribute to optimal kinetochore function.

The role of the centromere-unique histone H3 variant Cse4 (yeast) or CENP-A (mammals) remains enigmatic (30, 40) because these alternative mechanisms for tethering DNA to a microtubule-binding component of the kinetochore suffice for chromosome segregation. Current understanding of the organization of Cse4 itself within chromatin is equivocal (16). In organisms from yeast to mammal, more Cse4 resides in the centromere than lies at the plus-end of the kinetochore microtubule (62, 92). In budding yeast, several molecules of Cse4 reside in the vicinity of the kinetochore but are not bound in a sequence-specific manner (62). Binding of these noncentromere molecules depends upon Pat1, unlike Cse4 binding at CEN DNA (62, 101), indicating that heterogeneity in nucleosome structure is to be expected. Unlike in histone H3 and canonical nucleosomes, attempts to ascribe a single structure to Cse4-containing nucleosomes have been difficult, with both hemisome and nucleosomal states being identified. A parsimonious view is that the Cse4 nucleosome is dynamic (16). Because molecules closest to the plus-end of the microtubule experience more force than canonical nucleosomes, there may be value for the nucleosome to be adept at switching between hemisome and nucleosomal states. Like the unfolding of transcriptionally active nucleosomes (124), the centromere nucleosome may be adapted to rapid changes in pulling and pushing microtubule-based forces.





### Features of the centromere and pericentric heterochromatin

Enriched in topology adjusters

- Cohesin, condensin, topoisomerase II
- Centromere-specific histone H3 variant Cse4

Epigenetic marker for identity: differential requirements for de novo versus template-directed assembly

Elastic properties that distribute force and resist MT-based extensional forces

Twofold enriched in tRNA genes and LTR sequence DNA

**Figure 5**

Emergent properties of the centromere. (*Left*) The yeast segregation apparatus, shown in the vertical position, serves as a model for multiple kinetochores-microtubule attachment-site centromeres found in other organisms. The kinetochores-microtubules (*green*) can be seen at the top and bottom, emanating from the spindle pole body (*red*). The pericentromere DNA is organized as a network of loops (*yellow*) radially arranged around the spindle axis. Cohesin (*blue*) and condensin (*purple*) are enriched in the pericentromere and surround the central spindle. Abbreviations: LTR, long terminal repeat; MT, microtubule. Adapted from figure 6 in Reference 63.

**Neocentromeres.** New centromeres have been found to arise in natural populations in a variety of organisms, providing means to ask how nature builds her own centromeres. These neocentromeres, or ENC, have been reported in a variety of *Equus* species (horse, donkey, and zebra) and in *Drosophila*, *Candida albicans* (yeast), maize, and humans (17, 47, 140). Several key mechanistic steps in de novo centromere function can be deduced. Using in situ hybridization to detect satellite DNA and immunofluorescence to detect kinetochores proteins, Piras et al. (122) have shown that new centromeres (one million years old; in *Equus*) arise in regions free of satellite DNA. Through phylogenetic reconstructions, they show that the acquisition of satellite sequences follows kinetochores formation. These satellite-deficient centromeres can function over millions of years, indicating that segregation fidelity must approximate that of endogenous centromeres. The accumulation of repeated sequences over evolutionary time is indicative of their selective advantage. Lessons from the polymer world indicate that satellite sequences, through promotion of entanglements or recruitment of cohesin and condensin, confer elasticity to the pericentromere (**Figure 5**). Through greater elasticity between sister kinetochores, a higher degree of accuracy in discriminating stochastic microtubule dynamics from bona fide bi-orientation can be achieved.

Neocentromere formation (also known as centromere repositioning) has been studied in systems in which the endogenous centromere can be deleted and replaced with a genetically selectable marker. In *C. albicans* (yeast) and *Gallus gallus* (chicken) DT40 cells, new centromeres were found in several positions, most frequently close to the original centromere (141, 154). It is thought that

low levels of CENP-A in regions flanking the centromere may seed these new centromeres. The initiating event in these repositioned centromeres remains unknown. In maize, fluid structures dictated by retrotransposons and local repositioning of CenH3 have been reported as well (47, 155).

### Centromere Switching: Epigenetic States

The fluidity of centromeres can be readily appreciated from the perspective of a mechanism required to inactivate a centromere in rare chromosome fusion events. As first described by McClintock (96) in maize in the late 1930s, dicentric chromosomes are genetically unstable and undergo a breakage-fusion-bridge reaction. More recently, using directed methods to create dicentric chromosomes (expression of a dominant negative mutant of telomere protein TRF-2), Stimpson et al. (144) demonstrated centromere inactivation in de novo dicentric chromosomes. Studies in human and budding yeast reveal the critical role of CENP-A histone modification in regulating the functional state of the centromere (5, 134). The switchable nature of a centromere highlights the fact that centromere function arises from more than the sum of the parts. There is an emergent structure that is fluid and more likely to be subjected to constant molding and remodeling, dependent on cellular and chromosome physiology.

Evidence for centromere remodeling can be found in both fission and budding yeast. In fission yeast, Sato et al. (136) have demonstrated that epigenetic inactivation is initiated by disassembly of kinetochore components. Mutations that compromise kinetochore protein promote centromere inactivation. This is reminiscent of a finding in budding yeast that mutations in centromere DNA binding proteins lead to the stabilization of dicentric chromosomes (33). However, studies have used a centromere that can be conditionally inactivated via transcription from an adjacent promoter to demonstrate that de novo centromere function depends upon both the Swi2/Snf2 chromatin remodeling factor Fun30 (38) and the kinetochore component Chl4 (106) for its activation. In this way, de novo versus template-directed propagation of a centromere state was distinguished. Thus, even in budding yeast, the centromere can exist in two states, and state switching is likely to involve chromatin remodelers. It should be noted that both Fun30 and a second Swi2/Snf2 homolog, Rdh54/Tid1, have been localized to kinetochores (91, 123).

In species other than budding yeasts, the centromere is a dynamic genomic element, and this lack of sequence-specific requirements makes it difficult to identify the chromatin features that predispose centromere formation. The presence of CENP-A (13, 121, 145), reduced histone H2A.Z (113), and a concentration of tRNA genes (77), retrotransposons, or other mobile genetic elements (126) have all been suggested as contributing to centromere-permissive regions. The absence of satellite sequences in neocentromeres is indicative of their nonessential centromere function. The conclusion from a phylogenetically broad spectrum of plants and animals indicates that there are neocentromere-favorable and -unfavorable sites (154, 161). Unlike the kinetochore, with its readily identifiable essential proteins, it is difficult (outside of budding yeast) to identify genetic sequences or modifications that definitively mark or exclude centromere formation.

The capability for neocentromere formation must be balanced against the formation of dicentric chromosomes and potential chromosome instability (71, 97). Nonetheless, the cell retains the capacity to build centromeres de novo in rare instances of centromere loss. It is not surprising that de novo centromere formation is nonrandom, but how sites are chosen is less clear. In addition, centromere complexity evolves with time. The late acquisition of satellite sequences into neocentromeres is indicative of advantageous functions that evolve on timescales

slower than those for the microtubule attachment mechanism. Enumerating these functions and considering alternative segregation mechanisms might provide insights into the centromere that transcend kinetochore function.

## **IMPLICATIONS OF NONCANONICAL SEGREGATION MECHANISMS FOR KINETOCHORE-INDEPENDENT CENTROMERE FUNCTION**

### **Velcro Segregation Mechanisms**

The two-micron plasmid in budding yeast is a high-copy extrachromosomal element. The plasmid is partitioned with high fidelity in part because of a highly evolved stabilization element (STB) and the DNA binding proteins Rep1 and Rep2. Recent studies have shown that the two-micron plasmid physically associates with the chromosome and recruits cohesin (51), leading to a proposal for a hitchhiking mechanism of segregation (18). The plasmid recruits substoichiometric quantities of the centromere-specific histone (CENP-A), indicating that the potential site of hitchhiking might be the centromere. Centromere chromatin may provide a similar mechanism of association between the individual centromeres in budding yeast, thereby contributing to the fidelity of segregation.

### **Structural Maintenance of Chromosome-Based Bacterial Segregation**

The timing of condensin-mediated compaction of DNA in bacteria is closely linked to the chromosome segregation cycle and cell division (148, 166). In eukaryotes, condensin and cohesin loading is coupled to DNA replication (27, 167), and chromosomes are condensed well before anaphase chromosome segregation. Linking chromosome compaction with segregation in bacteria may reflect a strategy to harness SMC-mediated compaction forces for segregation. Rudner and colleagues (148, 166) argue that the key feature of SMC-mediated compaction is the orderly folding of DNA, thereby facilitating protein-DNA transactions, and that this has a central role in driving segregation. Chromosome individualization (visibly separated sister chromatids) in multicellular organisms likewise reflects the ability of condensation mechanisms to segregate strands. The enrichment of cohesin and condensin in centromeric heterochromatin (27, 86, 99) is indicative of additional functions beyond compaction and cohesion. The analysis of polymer networks makes testable predications for how these proteins contribute to centromere elasticity.

### **Entropic Recoil in Confinement**

Jun and colleagues (82, 83) have shown that the penalty due to polymer repulsion is sufficient to drive chains apart in a confined space, and may drive chromosome segregation in bacterial cells. As soon as the genome becomes fragmented, such as in eukaryotic chromosomes, thermodynamics is completely insufficient, as this mechanism cannot provide a single order or spatial direction. Thus, the polymer repulsion model cannot be the sole mechanism for eukaryotic chromosome segregation but serves as a guide to our intuition. Kleckner and colleagues (81) posit that origins of replication in bacteria are pushed toward the cell poles as a result of intranucleoid confinement. The replicated origins undergo condensation and resolution from each other but remain juxtaposed at specific origin-proximal sites (called snaps). The accumulation of DNA in the confined cellular space generates internal pushing forces. When these forces exceed the strength of the snaps, cohesion is lost, resulting in the abrupt and rapid extrusion of the condensed origin regions

toward opposite poles. Because replication probably initiates at the nucleoid periphery, the newly replicated DNA is naturally compartmentalized from the unreplicated chromosome. This helps to prevent entanglements and provides an unimpeded path for segregation of the origins and may explain why yeast centromeres are replicated early. Polymer repulsion may also underlie a mechanism to extrude centromeres and thus prevent them from being buried in the mass of centromeric heterochromatin.

## **EMERGENT FUNCTION FROM ENRICHMENT AND MOLECULAR ORGANIZATION OF CENTROMERE COMPONENTS**

If we build our understanding of the centromere from the biochemical and physical properties of the components, we emerge with a deeper understanding that transcends the kinetochore. The enrichment of topology adjusters, including cohesin, condensin, and topoisomerase II, endows centromeres with elasticity. Entanglement reflects a topological constraint resulting from the fact that DNA strands are unable to cross one another (157) in the absence of topoisomerase II. As a result of entanglements, the motion of one chain influences the other, resulting in an increased elastic modulus of the network (87, 157). In the case of  $\lambda$  DNA at DNA concentrations greater than 0.5 mg/mL, the elastic modulus exceeds the viscous modulus of the network. The network thus exhibits elastic behavior. In the centromere, we can estimate the concentration of DNA on the basis of the region of DNA enriched in SMC proteins (50 kb/chromosome) and the volume of the cylinder between bi-oriented centromeres in mitosis ( $\sim 0.15 \mu\text{m}^3$ ). The DNA concentration is approximately 9 mg/mL (about three times greater than bulk DNA in the nucleus). Thus, it is very likely that strands are topologically constrained within the cohesin barrel.

As discussed above, DNA strand sliding within the cohesin ring confers a slip-link property to the network. Tension generated at one strand is distributed among remaining strands because each strand “feels” the other through the equalization of tension via nanopulleys. Gels with slip-links (polyrotaxane gels) have the remarkable property of maintaining their three-dimensional shape over orders of magnitude of size scales (116). The consequence of such a network is that the stochastic growth and shortening of kinetochore microtubules at individual attachment sites can be averaged across the chromatin spring.

Condensin is responsible for chromosome condensation in metaphase as well as recoil of chromosome arms throughout anaphase (127). Condensin is highly enriched in regions of very high transcription, such as the nucleolus, and also at centromeres (4, 27, 28, 86). It has been proposed that condensin in the nucleolus serves to protect the genome from massive rearrangement from recombination between rDNA repeats and potential shuffling thereof. In the centromere, condensin contributes to heterochromatin compaction. However, considering the central role for condensin in prokaryotic chromosome segregation, the enrichment of condensin in eukaryotic chromosomes may be more profound. Compaction of strands naturally (i.e., thermodynamically) promotes strand segregation. Thus, the centromere provides the site of initiation for chromosome strand separation. From this perspective, the spindle apparatus functions as a guidance mechanism to ensure that sisters separate. The driving force for segregation may lie within the centromere proper.

The physical basis for the chromatin spring will ultimately require an understanding of DNA fluctuations, compaction, histone modification and mobility, DNA cross-linking complexes (inter- as well as intramolecular interactions), and topology adjusters. Through DNA strand cross-linking and entanglements, a robust elastic network emerges that maintains mechanical integrity across hundreds to thousands of kilobase pairs of centromere DNA. Polymer physics provide an important framework for integrating protein function with DNA dynamics to comprehend the accuracy of chromosome segregation.

## DISCLOSURE STATEMENT

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## Errata

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